

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

AK7
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SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2014

Securities Listed on New York Stock Exchange

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	81.98%
Limit orders as percentage of total non-directed orders	14.05%
Other orders as percentage of total non-directed orders	3.98%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. KCG Americas LLC	38.99%
2. Citadel Securities LLC	32.41%
3. Goldman, Sachs & Co.	9.01%
4. Direct Edge Exchange	5.55%
5. UBS Securities, LLC	4.55%
6. Instinet LLC	2.43%
7. Two Sigma Securities, LLC	1.94%
8. National Financial Services LLC	1.67%
9. Citigroup Global Markets, Inc.	1.13%
10. New York Stock Exchange	0.64%

Information Concerning Significant Venues :

1. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	41.06%
Limit orders as percentage of total limit orders	23.26%
Other orders as percentage of total other orders	51.76%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	35.39%
Limit orders as percentage of total limit orders	14.84%
Other orders as percentage of total other orders	33.23%

3. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.86%
Limit orders as percentage of total limit orders	6.61%
Other orders as percentage of total other orders	0.00%

4. Direct Edge Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	39.46%
Other orders as percentage of total other orders	0.32%

5. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.08%
Limit orders as percentage of total limit orders	4.98%
Other orders as percentage of total other orders	12.78%

6. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.85%
Limit orders as percentage of total limit orders	0.63%
Other orders as percentage of total other orders	0.00%

7. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.14%
Limit orders as percentage of total limit orders	1.36%
Other orders as percentage of total other orders	0.00%

8. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.75%
Limit orders as percentage of total limit orders	1.54%
Other orders as percentage of total other orders	0.32%

9. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.26%
Limit orders as percentage of total limit orders	0.72%
Other orders as percentage of total other orders	0.00%

10. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	4.52%
Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	85.33%
Limit orders as percentage of total non-directed orders	12.42%
Other orders as percentage of total non-directed orders	2.25%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. KCG Americas LLC	36.73%
2. Citadel Securities LLC	35.56%
3. Goldman, Sachs & Co.	9.46%
4. Direct Edge Exchange	4.54%
5. UBS Securities, LLC	4.14%
6. Instinet LLC	2.43%
7. National Financial Services LLC	2.11%
8. Two Sigma Securities, LLC	1.31%
9. Citigroup Global Markets, Inc.	1.13%
10. IEX Services, LLC	0.56%

Information Concerning Significant Venues :

1. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	38.19%
Limit orders as percentage of total limit orders	20.03%
Other orders as percentage of total other orders	73.45%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	37.56%
Limit orders as percentage of total limit orders	26.44%
Other orders as percentage of total other orders	9.73%

3. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.36%
Limit orders as percentage of total limit orders	4.81%
Other orders as percentage of total other orders	0.88%

4. Direct Edge Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.02%
Limit orders as percentage of total limit orders	36.22%
Other orders as percentage of total other orders	0.88%

5. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.43%
Limit orders as percentage of total limit orders	1.92%
Other orders as percentage of total other orders	5.31%

6. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.75%
Limit orders as percentage of total limit orders	0.64%
Other orders as percentage of total other orders	0.00%

7. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.29%
Limit orders as percentage of total limit orders	1.12%
Other orders as percentage of total other orders	0.88%

8. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.38%
Limit orders as percentage of total limit orders	1.12%
Other orders as percentage of total other orders	0.00%

9. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.28%
Limit orders as percentage of total limit orders	0.32%
Other orders as percentage of total other orders	0.00%

10. IEX Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.56%
Limit orders as percentage of total limit orders	0.16%
Other orders as percentage of total other orders	2.65%

Securities Listed on NYSE MKT or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	95.55%
Limit orders as percentage of total non-directed orders	3.79%
Other orders as percentage of total non-directed orders	0.66%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. KCG Americas LLC	39.36%
2. Citadel Securities LLC	37.47%
3. Goldman, Sachs & Co.	10.55%
4. UBS Securities, LLC	4.22%
5. Instinet LLC	1.96%
6. Two Sigma Securities, LLC	1.78%
7. Direct Edge Exchange	1.44%
8. National Financial Services LLC	1.35%
9. Citigroup Global Markets, Inc.	1.12%
10. Nasdaq OMX BX, Inc.	0.27%

Information Concerning Significant Venues :

1. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	40.05%
Limit orders as percentage of total limit orders	19.88%
Other orders as percentage of total other orders	51.72%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	38.18%
Limit orders as percentage of total limit orders	21.08%
Other orders as percentage of total other orders	27.59%

3. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.87%
Limit orders as percentage of total limit orders	4.22%
Other orders as percentage of total other orders	0.00%

4. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.16%
Limit orders as percentage of total limit orders	4.22%
Other orders as percentage of total other orders	13.79%

5. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.01%
Limit orders as percentage of total limit orders	1.20%
Other orders as percentage of total other orders	0.00%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.82%
Limit orders as percentage of total limit orders	1.20%
Other orders as percentage of total other orders	0.00%

7. Direct Edge Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	37.95%
Other orders as percentage of total other orders	0.00%

8. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.41%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.12%
Limit orders as percentage of total limit orders	0.60%
Other orders as percentage of total other orders	3.45%

10. Nasdaq OMX BX, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.29%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	19.18%
Limit orders as percentage of total non-directed orders	80.51%
Other orders as percentage of total non-directed orders	0.32%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Nasdaq Options Market	35.82%
2. NYSE Arca Options	15.85%
3. The Chicago Board Options Exchange	15.85%
4. NASDAQ OMX PHLX	7.45%
5. NYSE Amex Options	7.13%
6. The MIAX Options Exchange	4.28%
7. BATS Options Exchange, Inc.	3.17%
8. ISE Gemini Options Exchange	3.01%
9. The International Securities Exchange	1.74%
10. Nasdaq OMX BX, Inc.	1.58%

Information Concerning Significant Venues :

1. Nasdaq Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	44.49%
Other orders as percentage of total other orders	0.00%

2. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.83%
Limit orders as percentage of total limit orders	19.49%
Other orders as percentage of total other orders	0.00%

3. The Chicago Board Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	33.88%
Limit orders as percentage of total limit orders	11.42%
Other orders as percentage of total other orders	50.00%

4. NASDAQ OMX PHLX

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.36%
Limit orders as percentage of total limit orders	4.92%
Other orders as percentage of total other orders	50.00%

5. NYSE Amex Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.70%
Limit orders as percentage of total limit orders	5.12%
Other orders as percentage of total other orders	0.00%

6. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.92%
Limit orders as percentage of total limit orders	2.95%
Other orders as percentage of total other orders	0.00%

7. BATS Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	3.94%
Other orders as percentage of total other orders	0.00%

8. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.83%
Limit orders as percentage of total limit orders	3.54%
Other orders as percentage of total other orders	0.00%

9. The International Securities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.13%
Limit orders as percentage of total limit orders	1.18%
Other orders as percentage of total other orders	0.00%

10. Nasdaq OMX BX, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.79%
Limit orders as percentage of total limit orders	0.59%
Other orders as percentage of total other orders	0.00%