

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

## **AK7**

**AMERITAS INVESTMENT CORP.  
PO BOX 5507  
LINCOLN, NE 68505**

### **SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2017**

#### **Securities Listed on New York Stock Exchange**

##### **Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	91.81%
Limit orders as percentage of total limit orders	5.68%
Other orders as percentage of total other orders	2.52%

##### **Venues Receiving Significant Percentage of Total Non-Directed Orders :**

1. Citadel Securities LLC	41.41%
2. KCG Americas LLC	40.21%
3. G1 Execution Services, LLC	4.59%
4. Instinet LLC	3.26%
5. Two Sigma Securities, LLC	3.15%
6. BATS BYX Exchange	2.87%
7. UBS Securities, LLC	1.25%
8. BATS BZX Exchange	1.10%
9. EDGX Exchange, Inc.	1.02%
10. New York Stock Exchange	0.70%

##### **Information Concerning Significant Venues :**

###### **1. Citadel Securities LLC**

###### **Types of Orders Routed to Venue :**

Market orders as percentage of total market orders	41.11%
Limit orders as percentage of total limit orders	23.43%
Other orders as percentage of total other orders	92.90%

###### **2. KCG Americas LLC**

###### **Types of Orders Routed to Venue :**

Market orders as percentage of total market orders	42.65%
Limit orders as percentage of total limit orders	16.86%
Other orders as percentage of total other orders	3.87%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.70%
Limit orders as percentage of total limit orders	4.86%
Other orders as percentage of total other orders	0.00%

4. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.43%
Limit orders as percentage of total limit orders	2.00%
Other orders as percentage of total other orders	0.00%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.36%
Limit orders as percentage of total limit orders	1.14%
Other orders as percentage of total other orders	0.00%

6. BATS BYX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.11%
Limit orders as percentage of total limit orders	0.29%
Other orders as percentage of total other orders	0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.18%
Limit orders as percentage of total limit orders	1.71%
Other orders as percentage of total other orders	2.58%

8. BATS BZX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	19.43%
Other orders as percentage of total other orders	0.00%

9. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	18.00%
Other orders as percentage of total other orders	0.00%

10. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	12.29%
Other orders as percentage of total other orders	0.00%

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	88.52%
Limit orders as percentage of total limit orders	7.62%
Other orders as percentage of total other orders	3.86%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Citadel Securities LLC	41.93%
2. KCG Americas LLC	41.61%
3. G1 Execution Services, LLC	4.11%
4. Two Sigma Securities, LLC	3.26%
5. Instinet LLC	2.32%
6. BATS BYX Exchange	2.20%
7. UBS Securities, LLC	1.47%
8. BATS BZX Exchange	1.12%
9. EDGX Exchange, Inc.	1.08%
10. BNY Mellon Capital Markets LLC	0.46%

### Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	42.29%
Limit orders as percentage of total limit orders	29.82%
Other orders as percentage of total other orders	57.74%

#### 2. KCG Americas LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	43.19%
Limit orders as percentage of total limit orders	26.20%
Other orders as percentage of total other orders	35.71%

#### 3. G1 Execution Services, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.33%
Limit orders as percentage of total limit orders	3.31%
Other orders as percentage of total other orders	0.60%

#### 4. Two Sigma Securities, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.53%
Limit orders as percentage of total limit orders	1.81%
Other orders as percentage of total other orders	0.00%

5. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.39%
Limit orders as percentage of total limit orders	2.11%
Other orders as percentage of total other orders	1.19%

6. BATS BYX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.39%
Limit orders as percentage of total limit orders	1.20%
Other orders as percentage of total other orders	0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.32%
Limit orders as percentage of total limit orders	1.81%
Other orders as percentage of total other orders	4.17%

8. BATS BZX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	14.76%
Other orders as percentage of total other orders	0.00%

9. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	14.16%
Other orders as percentage of total other orders	0.00%

10. BNY Mellon Capital Markets LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.49%
Limit orders as percentage of total limit orders	0.30%
Other orders as percentage of total other orders	0.00%

## Securities Listed on NYSE MKT or Regional Exchanges

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	94.78%
Limit orders as percentage of total limit orders	4.15%
Other orders as percentage of total other orders	1.07%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Citadel Securities LLC	43.35%
2. KCG Americas LLC	39.34%
3. G1 Execution Services, LLC	4.15%
4. BATS BYX Exchange	4.04%
5. Two Sigma Securities, LLC	3.34%
6. Instinet LLC	2.78%
7. UBS Securities, LLC	1.07%
8. BATS BZX Exchange	0.65%
9. EDGX Exchange, Inc.	0.56%
10. NYSE Arca	0.51%

### Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	43.66%
Limit orders as percentage of total limit orders	30.41%
Other orders as percentage of total other orders	65.79%

#### 2. KCG Americas LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	40.35%
Limit orders as percentage of total limit orders	24.32%
Other orders as percentage of total other orders	7.89%

#### 3. G1 Execution Services, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.26%
Limit orders as percentage of total limit orders	2.70%
Other orders as percentage of total other orders	0.00%

#### 4. BATS BYX Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.26%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.46%
Limit orders as percentage of total limit orders	1.35%
Other orders as percentage of total other orders	0.00%

6. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.90%
Limit orders as percentage of total limit orders	0.68%
Other orders as percentage of total other orders	0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.86%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	23.68%

8. BATS BZX Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	15.54%
Other orders as percentage of total other orders	0.00%

9. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	13.51%
Other orders as percentage of total other orders	0.00%

10. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	11.49%
Other orders as percentage of total other orders	2.63%

## Exchange - Listed Options

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	40.00%
Limit orders as percentage of total limit orders	59.00%
Other orders as percentage of total other orders	1.00%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. The Chicago Board Options Exchange	24.40%
2. NASDAQ PHLX Options	19.60%
3. NASDAQ Options Market	14.80%
4. The MIAX Options Exchange	10.00%
5. BOX Option Exchange	7.60%
6. NYSE Arca Options	6.00%
7. BZX Options Exchange, Inc.	5.40%
8. ISE Gemini Options Exchange	4.60%
9. NYSE Amex Options	4.00%
10. EDGX Option Exchange	1.40%

### Information Concerning Significant Venues :

#### 1. The Chicago Board Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	35.50%
Limit orders as percentage of total limit orders	16.27%
Other orders as percentage of total other orders	60.00%

#### 2. NASDAQ PHLX Options

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	30.00%
Limit orders as percentage of total limit orders	12.20%
Other orders as percentage of total other orders	40.00%

#### 3. NASDAQ Options Market

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.50%
Limit orders as percentage of total limit orders	24.07%
Other orders as percentage of total other orders	0.00%

#### 4. The MIAX Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	13.00%
Limit orders as percentage of total limit orders	8.14%
Other orders as percentage of total other orders	0.00%

5. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.50%
Limit orders as percentage of total limit orders	8.47%
Other orders as percentage of total other orders	0.00%

6. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.00%
Limit orders as percentage of total limit orders	9.49%
Other orders as percentage of total other orders	0.00%

7. BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.50%
Limit orders as percentage of total limit orders	7.46%
Other orders as percentage of total other orders	0.00%

8. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	7.80%
Other orders as percentage of total other orders	0.00%

9. NYSE Amex Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.00%
Limit orders as percentage of total limit orders	3.39%
Other orders as percentage of total other orders	0.00%

10. EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.50%
Limit orders as percentage of total limit orders	0.68%
Other orders as percentage of total other orders	0.00%